

12/13/01

JC931 U.S. PTO

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JC927 U.S. PTO

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Vidas, Arrett & Steinkraus Utility Patent Application Transmittal		Atty. Docket No		N47.2-10338
		First Inventor		John C. Pederson
		Title:		LED WARNING SIGNAL LIGHT AND ROW OF LED'S
		Express Mail Label No.		EK219354798US
Application Elements		Address To:		Commissioner for Patents Box Patent Application Washington, DC 20231
1.	<input checked="" type="checkbox"/> Fee Transmittal Form	Pages 2		
	<input checked="" type="checkbox"/> Check Included	Pages 1		
2.	<input checked="" type="checkbox"/> Applicant claims small entity status	Pages 59		
3.	<input checked="" type="checkbox"/> Specification (including pg cover sheet, 55pg description, 3pg claims and 1pg abstract)	Pages 20		
4.	<input checked="" type="checkbox"/> Drawings	Pages 3		
5.	<input checked="" type="checkbox"/> Oath or Declaration			
	a. <input type="checkbox"/> Newly executed (original or copy)			
	b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed)			
	i. <input type="checkbox"/> Deletion of Inventor(s) - signed statement attached deleting inventors named in the prior application	Pages		
6.	<input checked="" type="checkbox"/> Application Data Sheet	Pages 1		
7.	<input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents and check)	Pages 3		
	<input checked="" type="checkbox"/> Previously recorded on 9/29/2000, Reel 011129, Frames 0423			
8.	<input checked="" type="checkbox"/> Power of Attorney	Pages 1		
	<input type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)	Pages		
9.	<input type="checkbox"/> English Translation Document	Pages		
10.	<input type="checkbox"/> Information Disclosure Statement	Pages		
	<input type="checkbox"/> Copies of Citations ( references)			
11.	<input checked="" type="checkbox"/> Preliminary Amendment	Pages 8		
12.	<input checked="" type="checkbox"/> Return Receipt Postcard	Pages 1		
13.	<input type="checkbox"/> Certified Copy of Priority Document	Pages		
14.	<input type="checkbox"/> Nonpublication Request	Pages		
15.	<input checked="" type="checkbox"/> Constructive Petition	Pages 1		
16.	<input type="checkbox"/> Limited Authorization	Pages		
17.	<input checked="" type="checkbox"/> VAS Utility Patent Application Transmittal	Pages 1		
18.	<input type="checkbox"/> Other	Pages		
19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet				
<input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part Of prior application no. 09/586,221				
Prior Application Information: Examiner Group Art Unit				
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.				
20. TOTAL NUMBER OF PAGES 101				
21. CORRESPONDENCE ADDRESS				
				
00490				
PATENT TRADEMARK OFFICE				
INSERT CUSTOMER NUMBER LABEL ABOVE				
Name	Edwin E. Voigt, Reg. No. 36,042			
Signature			Date 12/13/2001	
			12-13-01	

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number	Pending
Filing Date	Concurrently herewith
First Named Inventor	John C. Pederson
Examiner Name	
Group Art Unit	
Attorney Docket No.	N47.2-10338

TOTAL AMOUNT OF PAYMENT (\$608.00)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees to:  
 Deposit Account Number 22-0350  
 Deposit Account Name Vidas, Arrett & Steinkraus
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status. See 37 CFR 1.27  
☒ Credit any overpayments to Deposit Account No. 22-0350  
 Deposit Account Name: Vidas, Arrett & Steinkraus

## 2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	370.00
106	330	206	165	Design filing fee	----
107	510	207	255	Plant filing fee	----
108	740	208	370	Reissue filing fee	----
114	160	214	80	Provisional filing fee	----

SUBTOTAL (1) \$ 370.00

### 2. EXTRA CLAIM FEES

Total Claims 32 - 20\*\* = 22 X \$9.00 = 198.00  
 Independent Claims 2 - 3\*\* = 0 X 42.00 = 0.00  
 Multiple Dependent ----- =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$ 198.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	----
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	----
139	130	139	130	Non-English specification	----
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	----
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	----
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	----
115	110	215	55	Extension for reply within first month	----
116	400	216	200	Extension for reply within second month	----
117	920	217	480	Extension for reply within third month	----
118	1,440	218	720	Extension for reply within fourth month	----
128	1,960	228	980	Extension for reply within fifth month	----
119	320	219	160	Notice of Appeal	----
120	320	220	160	Filing of Appeal	----
121	280	221	140	Request for oral hearing	----
138	1,510	138	1,510	Petition to institute a public use proceeding	----
140	110	240	55	Petition to revive - unavoidable	----
141	1,280	241	640	Petition to revive - unintentional	----
142	1,280	242	640	Utility issue fee (or reissue)	----
143	460	243	230	Design issue fee	----
144	620	244	310	Plant issue fee	----
122	130	122	130	Petitions to the Commissioner	----
123	50	123	50	Processing fee under 37 CFR 1.17(q)	----
126	180	126	180	Submission of Information Disclosure Stmt	----
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	----
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	----
179	740	279	370	Request for Continued Examination (RCE)	----
169	900	169	900	Request for expedited examination of a design application	----
Other Fee (specify) _____					(\$)
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$40.00)

Edwin E. Voigt, Reg. No. 36,042

Signature

Telephone 952-563-3000

Date 12/13/2001

12-1301

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